SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| | ess of Reporting Per | rson* | 2. Issuer Name and Ticker or Trading Symbol <u>MeiraGTx Holdings plc</u> [MGTX] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
|----------------------|----------------------|----------|--|--|---|-----------------------|--|--|--|--|--|
| Forbes Alexa | andria | | | X | Director | 10% Owner | | | | | |
| (Last) |) (First) (Middle) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | x | Officer (give title below) | Other (specify below) | | | | | |
| 450 EAST 29TH STREET | | | 06/07/2021 | | President and CEO | | | | | | |
| 14TH FLOOR | | | | | | | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | ividual or Joint/Group Filing (Check Applicable | | | | | | |
| NEW YORK | NY | 10016 | | X | X Form filed by One Reporting Person | | | | | | |
| | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| ······································ | | | | | | | | | | |
|--|--|---|------------------------------|---|--|---------------|---|---|---|------------|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Orindary Shares | 03/05/2021 | | G | v | 1,000 | D | \$0 | 1,320,781 | D | |
| Ordinary Shares | 06/07/2021 | | S ⁽¹⁾ | | 5,667 | D | \$15.03 ⁽²⁾⁽³⁾ | 1,315,114 | D | |
| Ordinary Shares | 06/08/2021 | | S ⁽¹⁾ | | 20,180 | D | \$15.02 ⁽³⁾⁽⁴⁾ | 1,294,934 | D | |
| Ordinary Shares | 06/09/2021 | | S ⁽¹⁾ | | 4,153 | D | \$15.04 ⁽³⁾⁽⁵⁾ | 1,290,781 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|---|---|---|-----|--|--------------------|---|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 11, 2019.

2. The price reported reflects the weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$15.00 to \$15.09, inclusive.

3. The reporting person undertakes to provide to the SEC staff, the issuer, or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

4. The price reported reflects the weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$15.00 to \$15.09, inclusive.

5. The price reported reflects the weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$15.00 to \$15.12, inclusive.

<u>/s/ Richard Giroux, Attorney-</u> <u>in-Fact for Alexandria Forbes</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.